



ATTORNEY DOCKET NO. 04150.0027U1
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
BAUER et al.) Art Unit: 3744
U.S. Application No. 10/573,213) Examiner: Not Yet Assigned
U.S. Filing Date: January 16, 2007) Confirmation No.: 4996
International Application No. PCT/GB2004/004047)
International Filing Date: March 22, 2006)
For: NATURAL GAS LIQUEFACTION PROCESS)

REQUEST TO CORRECT FILING RECEIPT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

NEEDLE & ROSENBERG, P.C.
CUSTOMER NUMBER 23859

October 15, 2007

Sir:

In response to the Filing Receipt issued September 25, 2007, for the above-referenced patent application, Applicants note that there is a typographical error with the City of Residence for fourth inventor, Marc Schier, and request a Corrected Filing Receipt. Applicants request that the City of Residence be corrected as follows:

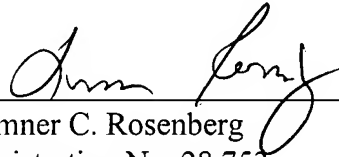
Marc Schier, Geretsried, GERMANY

Submitted herewith is a copy of the Filing Receipt with the above-noted changes. Also enclosed are copies of the Second Transmittal Letter including Communication to change this inventor's residence address, and the Supplemental Application Data Sheet showing the correct City of Residence underlined for the inventor.

ATTORNEY DOCKET NO. 04150.0027U1
APPLICATION NO. 10/573,213

No fee is believed due; however, the Commissioner is hereby authorized to charge any fees which may be required or to credit any overpayment to Deposit Account No. 14-0629.

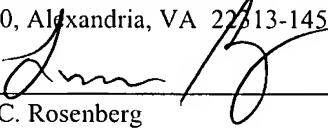
NEEDLE & ROSENBERG, P.C.


Sumner C. Rosenberg
Registration No. 28,753

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CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence, including any items indicated as attached or included, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.


Sumner C. Rosenberg

10/15/07
Date



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TRANSMITTAL LETTER

Mail Stop MISSING PARTS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

NEEDLE & ROSENBERG, P.C.
Customer Number 23859

October 15, 2007

Sir:

Transmitted herewith is the following in the above-identified application:

- | | | | |
|-------------------------------------|-----------------------------------|----------------------------------------|-----------------------------------------|
| <input checked="" type="checkbox"/> | Request to Correct Filing Receipt | <input type="checkbox"/> | Petition to Extend Time |
| <input type="checkbox"/> | Fee as calculated below | <input type="checkbox"/> | Supplemental Declaration |
| <input checked="" type="checkbox"/> | No Additional Fee Required | <input type="checkbox"/> | Terminal Disclaimer |
| <input type="checkbox"/> | Replacement Drawings | <input checked="" type="checkbox"/> | Other <u>Copy of Second Transmittal</u> |
| | | <u>Letter and Associated Documents</u> | |

CLAIMS AS AMENDED							
CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR		PRESENT EXTRA	RATE		ADDITIONAL FEE
Total Claims	0		0	0	X \$50.00		\$0.00
Independent Claims	0		0	0	X \$200.00		\$0.00
<input type="checkbox"/> First Presentation of a Multiple Dependent Claim					+ \$370.00		\$0.00
EXTENSION FEE	1 st Month \$120 <input type="checkbox"/>	2 nd Month \$460 <input type="checkbox"/>	3 rd Month \$1050 <input type="checkbox"/>	4 th Month \$1640 <input type="checkbox"/>	5 th Month \$2230 <input type="checkbox"/>		\$0.00
<input checked="" type="checkbox"/> Reduction by ½ for filing by SMALL ENTITY (Note 37 C.F.R. §1.9, §1.27, §1.28) -							- \$0.00
TOTAL FEE DUE							\$0.00

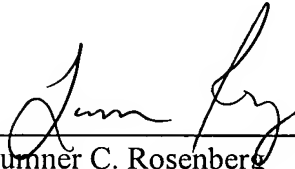
ATTORNEY DOCKET NO. 04150.0027U1
APPLICATION NO. 10/573,213

Payment:

- ☐ A check in the amount of \$_____ is enclosed.
- ☐ Payment by credit card in the amount of \$0.00 for the fees designated below. (Form PTO-2038 enclosed).
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.
- ☐ The Commissioner is authorized to charge our Deposit Account No. 14-0629 in the amount of \$0.00 to cover the above-listed additional fees. A duplicate copy of this transmittal is enclosed.
- ☒ In the event of an overpayment or improper payment of a required fee, the Commissioner is authorized to charge or credit our Deposit Account No. 14-0629 as required to correct the error.

Respectfully submitted,

NEEDLE & ROSENBERG, P.C.


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CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

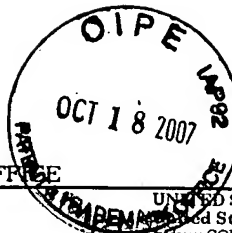
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Sumner C. Rosenberg

10/15/07
Date



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APPL NO.	FILING OR 371(c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLMS	IND CLMS
10/573,213	01/16/2007	3744	1930	04150.0027U1	26	6

23859
 NEEDLE & ROSENBERG, P.C.
 SUITE 1000
 999 PEACHTREE STREET
 ATLANTA, GA 30309-3915

RECEIVED

SEP 28 2007

NEEDLE & ROSENBERG

CONFIRMATION NO. 4996

FILING RECEIPT



OC000000025962620

Date Mailed: 09/25/2007

Receipt is acknowledged of this nonprovisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Heinz Bauer, Ebenhausen, GERMANY;
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 Pentti Paurola, Hafslund, NORWAY;

GERETSRIED

SCR / MSC

Needle & Rosenberg	
By DW	DOCKETED
Reviewed	Date 9/28/07
Name/Date	
Scanned	

Assignment For Published Patent Application

Linde AG, Wiesbaden, GERMANY
 Statoil ASA, Stavanger, NORWAY

Power of Attorney: The patent practitioners associated with Customer Number 23859.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/GB04/04047 09/23/2004

Foreign Applications

GERMANY 10344030.5 09/23/2003
 UNITED KINGDOM 0409103.9 04/23/2004

If Required, Foreign Filing License Granted: 09/21/2007

The country, code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/573,213**

Projected Publication Date: 01/03/2008

Non-Publication Request: No

Early Publication Request: No

Title

Natural Gas Liquefaction Process

Preliminary Class

062

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

Title 37, Code of Federal Regulations, 5.11 & 5.15**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).